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Case 01-01139-AMC Doc 21936	6-33 Filed 06/01/09 Page 2 of 12
1 559 920 Marsh & McLen_an XIX	129 95 53 3-80 XLX-136 29 55
BRANCH 1221 Ave of The A.	neucas .
77 07 0000	
COVERAGE PROVIDED 01 FIREMAN'S FUND IN COMPANY DESIGNATED BY NUMBER 07 NATIONAL SURETY	The state of the s
ITEM 1. INSURED'S NAME AND ADDRESS (NO., STREET, TOWN, COUNTY, STATE)	
W. R. Grace & Go. 1114 Avenue of the Americas	8-4-18
New York, N.Y. 10036	EW YORK-PROG. *
POLICY PERIOD:	
ITEM 2. INCEPTION (MO, DAY YR.) 12:01 A.M., STANDARD TIME, AT THE ADDRESS OF THE NAMED. INSURED AS STATED HEREIN.	. υ.
	AGENT COMM. O. PLEASE SHOW RAFE OF COMMISSION
ITEM 3. LIMIT OF LIABILITY:	The second secon
. 2,000,00 . 2,000,00	
ITEM 4. UNDERLYING INSURANCE	
. 75,000,00 . 75,000,00	
ITEM 5. PREMIUM BASIS	ITEM 6. ADVANCE PREMIUM: \$ 6,000 3/3 4
, Flat Charge	ANNUAL MINIMUM PREMIUM: \$ 6,000
THE EVENT OF CANCELLATION BY THE NAMED INSURED, THE COM	PANY SHALL RECEIVE AND RETAIN NOT LESS THAN \$
ITEM 7, SCHEDULE OF UNDERLYING INSURANCE:	
See End!	
FOLLOWING FORM BLANKET	EXCESS LIABILITY POLICY
The Company designated above, a stock insurance company, (herein tions made a part hereof, in consideration of the payment of premium to the limits of liability, exclusions, conditions and other terms of this p	and in reliance upon the statements in the declarations and subject
INSURING AGREEMENTS	policy shall in the event of reduction, apply as excess of the reduced
1. Coverage. To indemnify the Insured for the Insured's ultimate net loss in excess of the insurance afforded under the Blanket Excess Liability or "Umbrella" policies specified in Item 7 of the Declarations, hereafter called underlying insurance, in full force and effect	limit of liability thereunder. Subject to the applicable limit of liability as respects each occurrence, the limit of liability stated in the declarations as "aggregate" shall be the total limit of the Company's liability for all damages sustained during each annual period of this policy.
at the inception of this policy, provided that the insurance for injury to or destruction of property under this policy and underlying policies shall not apply except as respects injury to or destruction of corporeal property, including loss of use thereof.	3. Policy Period. This policy applies only to occurrences which take place during the policy period.
and the property services and of the meteory.	DEFINITIONS

2. Limit of Liability. The Company shall be liable only for the limit of liability stated in Item 3 of the Declarations in excess of the limit or limits of liability of the applicable underlying insurance policy or policies all as stated in the declarations of this policy. The limit of the liability stated in the declarations as applicable to "each occurrence" shall be the total limit of the Company's liability for all damages sustained as the result of any one occurrence, provided, however, in the event of reduction or exhaustion of the applicable aggregate limit or limits of liability under said 'lying policy or policies solely by reason of losses paid there' on account of occurrences during this policy period, this

"Ultimate net loss" means all sums actually paid, or which the Insured is legally obligated to pay, as damages in settlement or satisfaction of claims or suits for which insurance is afforded by this policy, after proper deduction of all recoveries or salvage.

CONDITIONS

I. Maintenance of Primary Insurance. The Insured warrants, and Γ it is a condition of this policy, that at the inception of this policy, (C insurance afforded by the underlying policies of insurance (apply-(Continued on Page Two)

ITERSIGNATURE DATE	1	COUNTERSIGNATURE	
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7/26/78

ing as excess over various policies of primary insurance) with combined limits of liability for said underlying insurance stated in Item 4 of the declarations, or renewals or replacements thereof not affording coverages other than those at inception of this policy, shall be maintained in full effect during the period of this policy, cept for reduction of aggregate limits solely as a result of pay-

at of claims arising out of occurrences during this policy period. such underlying insurance is not maintained in full effect by the Insured or if there is any change in the scope of coverage under any underlying insurance, the insurance afforded by this policy shall apply in the same manner as though such underlying policies had been so maintained and unchanged.

The insurance afforded by this policy is subject to the same warranties, terms (including the terms used to describe the application of the limits of liability), conditions and exclusions as are contained of the limits of hability), conditions and exclusions as are contained in the underlying insurance on the effective date of this policy, except, unless otherwise specifically provided in this policy, any such warranties, terms, conditions or exclusions relating to premium, the obligation to investigate and defend, the amount and limits of liability, and any renewal agreement.

- 2. Notice of Occurance. The Insured shall immediately advise the Company of any occurrence or disaster which will probably result in liability under this policy. The Company shall not, however, be called upon to assume charge of the settlement of defense of any claims made or suits brought or proceedings instituted of any claims made, or suits brought, or proceedings instituted against the Insured, but shall have the right and opportunity to be associated with the Insured in the delense and trial of any such claims, suits or proceedings relative to any occurrence which, in the opinion of the Company, may create liability on the part of the Company under the terms of the policy. If the Company avails itself of such right and opportunity, the Insured and the Company shall cooperate in all respects so as to effect a final determination of the claim or claims.
- 3. Payment of Loss. It is a condition of this policy that the insurance afforded under this policy shall apply only after all underlying insurance has been exhausted. Upon final determination by settlement, award or verdict of the liability of the Insured, the Company shall promptly pay the Insured as the Insured shall pay, or be required to pay, the amounts of any losses falling within the terms or limits of this insurance. All losses covered under this policy shall be due and payable by the Company within 30 days after they are respectively claimed and proof of loss filed with the Company with this rolicy. in conformity with this policy. Bankruptcy or insolvency of assured shall not relieve the Company of any of its obligations
- 4. Payment of Expenses. Loss expenses and legal expenses, including court costs and interest, if any, which may be incurred by the

Insured with the consent of the Company in the adjustment or defense of claims, suits or proceedings shall be borne by the Company and the Insured in the proportion that each party's share of loss bears to the total amount of said loss. Loss expense hereunds shall not include salaries and expense of the Insured's employee. incurred in investigation, adjustment and litigation.

- 5. Appeal. In the event the Insured or any underlying insurer elects not to appeal a judgment in excess of the amount of the underlying insurance, the Company may elect to appeal at its expense and shall be liable for the expenses incidental thereto, but in no event shall the liability of the Company for ultimate net loss exceed the amount set forth in the policy plus the expenses incidental to such appeal.
- 6. Subregation. In the event of any payment of this policy, the Company shall be subregated to all the Insured's rights of recovery therefor against any person or organization and the Insured shall execute and deliver instruments and papers and do whatever else is necessary to secure such rights. The Insured shall do nothing after loss to prejudice such rights.

Any amount recovered as subrogation shall be apportioned in the inverse order of payment of loss to the extent of actual payment. The expenses of all such recovery proceedings shall be apportioned in the ratio of respective recoveries. If there is no recovery in proceedings conducted solely by the Company, the Company shall bear the expenses thereof.

- 7. Premium. The premium for this policy shall be computed upon the basis stated in the Declarations. The advance premium stated in the Declarations, unless otherwise specified is an estimated premium only. Upon termination of this policy the earned premium shall be computed and if the earned premium is more than the shall be computed and if the earned premium is more man the advance premium paid, the Named Insured shall pay the excess to the Company; if less, the Company shall return to the Named Insured the unearned portion, subject to the annual minimum premium stated in the Declarations for each twelve months of the policy period, and subject further to the policy minimum premium as stated in the Declarations.
- 8. Cancellation. This policy may be cancelled by either party upon 30 days' notice in writing to the other stating the date cancellation 30 days notice in writing to the other stating the date cancellation shall be effective. If cancellation is at the request of the Insured adjustment of premium shall be at short rate, and if cancelled by the Company, adjustment shells of make provide However, in the event of cancellation or termination of the underlying insurance, this policy shall cease to apply at the same time without notice to the Insured. Notice shall be given by the Company to the Insured at the address shown in the same time without notice. at the address shown in the declaration. Payment or tender of unearned premium is not a condition of cancellation.

NUCLEAR ENERGY LIABILITY EXCLUSION ENDORSEMENT

(BROAD FORM)

It is agreed that the policy does not apply:

sunder.

- I. Under any Liability Coverage, to injury, sickness, disease, death or destruction
- (a) with respect to which an insured under the policy is also an insured under a nuclear energy liability policy issued by Nuclear Energy Liability Insurance Association, Mutual Atomic Energy Liability Insurance Association (Insurance Association) and Insurance Associ bility Underwriters or Nuclear Insurance Association of Canada, or would be an insured under any such policy but for its termination upon exhaustion of its limit of liability; or
- (b) resulting from the hazardous properties of nuclear material and with respect to which (1) any person or organization is required to maintain financial protection pursuant to the Atomic Energy Act of 1954, or any law amendatory thereof, or (2) the insured is, or had this policy not been issued would be, entitled to indemnity from the United States of America, or any agency thereof, under any agreement entered into by the United States of America. under any agreement entered into by the United States of America, or any agency thereof, with any person or organization.
- I. Under any Liability Coverage, to injury, sickness, disease, death or destruction resulting from the hazardous properties of nuclear
- a) the nuclear material (1) is at any nuclear facility owned by, or operated by or on behalf of, an insured or (2) has been disher a or dispersed therefrom;
- nuclear material is contained in spent fuel or waste at ng...me possessed, handled, used, processed, stored, transported r disposed of by or on behalf of an insured; or

- (c) the injury, sickness, disease, death or destruction arises out of the furnishing by an insured of services, materials, parts or equipment in connection with the planning, construction, maintenance, operation or use of any nuclear facility, but if such facility is located within the United States of America, its territories or possessions or Canada, this exclusion (c) applies only to injury to or destruction of property at such nuclear facility.
- III. As used in this endorsement:

"hazardous properties" include radioactive, toxic or explosive properties:

"nuclear material" means source material, special nuclear material or byproduct material;

"source material," "special nuclear material," and "byproduct material" have the meanings given them in the Atomic Energy Act of 1954 or in any law amendatory thereof;

"spent fuel" means and fuel element or fuel component, solid or liquid, which has been used or exposed to radiation in a nuclear

"waste" means any waste material (1) containing byproduct material and (2) resulting from the operation by any person or organization of any nuclear facility included within the defination of nuclear facility under paragraph (a) or (b) thereof;

"nuclear facility" means

- (a) amy nuclear reactor,
- (b) any equipment or device designed or used for (1) separating

(Continued on Next Page)

(Continued from Preceding Page)

the isotopes of uranium or plutonium, (2) processing or utilizing spent fuel, or (3) handling, processing or packaging waste, (c) any equipment or device used for the processing, fabricating or alloying of special nuclear material if at any time the total amount of such material in the custody of the insured at the premises where such equipment or device is located consists of or contains more than 25 grams of plutonium or uranium 233 or any combination thereof, or more than 250 grams of uranium 235, (d) any structure, basin, excavation, premises or place prepared or used for the storage or disposal of waste,

and includes the site on which any of the foregoing is located, all operations conducted on such site and all premises used for such operations;

"nuclear reactor" means any apparatus designed or used to sustain nuclear fission in a self-supporting chain reaction or to contain a critical mass of fissionable material;

With respect to injury to or destruction of property, the word "injury" or "destruction" includes all forms of radioactive contamination of property.

IN WITNESS WHEREOF, FIREMAN'S FUND INSURANCE COMPANY has caused this Policy to be signed by its President and Secretary but this Policy shall not be valid unless countersigned by a duly authorized Agent of the Company.

SECRETARY

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FINAL PAGE

Schedule of Underlying Insurance

#2

Item #7 of the Declarations Page is amended to read:

To be advised

POLICY NUMBER	INSURED	EFFECTIVE
XIX 136 29 55 W. R. Grace	& Co.	6/30/78
FIREMAN'S FUND INSURANCE COMPANY THE AMERICAN INSURANCE COMPANY NATIONAL SURETY CORPORATION ASSOCIATED INDEMNITY CORPORATION AMERICAN AUTOMOBILE INSURANCE COMPANY	PRODUCER COUNTERSIGNATURE OF AUTHORIZED	AGENT
Myron Du Bain PRESIDENT		

180009-6-65 SETS

End't, #1

Architects, Engineers or Surveyors-Professional Liability Exclusion

It is agreed that this policy shall not apply to any liability arising out of any professional services performed by or for the Insured, including, but not limited to

- (A) the preparation or approval of maps, plans, opinions, reports, surveys, designs or specifications and
- (B) supervisory, inspection or engineering services.

Accepted:			484 444		
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•	· And American State	MARKET COMMANDE	424		Officer

POLICY NUMBER		INSURE		EFFECTIVE
MAX 136 29 55	N.R. Groce	e & Co	(**	6/30/78
THE AMERICA NATIONAL S ASSOCIATED II	ID INSURANCE COMPANY N INSURANCE COMPANY SURETY CORPORATION NDEMNITY CORPORATION OBILE INSURANCE COMPANY		PRODUCER COUNTERSIGNATURE OF AUTHORIZE	ED AGENT
	W Rue Bain	; ;;		

180009-6-65 SETS

Case 01-01139-AMC Doc 21936-33 Filed 06/01/09 Page 7 of 12

and makes a	NON-SUBMISSION OF REPORT	ALL OTHER	to the state of th
, K ,	NOTICE OF CANCELLATION	OR NON-RENEWAL	
YOUR POLICY NUMBER	EFFECTIVE DATE OF CANCELLATION	ISSUING OFFICE	DATE MAILED
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APPLICABLE PARAGRAPH IS N	iarked 🔀	• 	
NON-RENEWAL: You are her and that your insurance wi	reby notified that the policy designated he il expire on the termination date specified	erein will not be renewed by the compo herein.	my issuing said policy
CANCELLATION: In accorder said policy that said policy liability of such company	ace with the conditions of the policy design is cancelled as of the effective date statement of the statement of the policy design is cancel be as of such effective defereby. Earned premium it may will be a statement of the policy design is a statement of the policy design in the policy design is a statement of the policy design in the policy design is a statement of the policy design in the policy	mated herein, notice is hereby given bed herein, at the standard time specified distributions and times provided himses	ied in said policy. All
NAME AN	ID ADDRESS OF LOSS PAYEE	FIREMAN'S FUND INSURANCE OF NATIONAL SURETY CORPORATION THE AMERICAN INSURANCE CO AMERICAN AUTOMOBILE INSURANCE CO ASSOCIATED INDEMNITY CORPORTS AUTHORIZED SIGN	ANCE COMPANY
485216-4-74	PROCESSING COPY		

NOTICE OF REINSTATEMENT

POLICY NUMBER	EFFECTIVE DATE OF REINSTATEM	ENT	ISSUING OFFICE	DATE MAILED
XLX 136 29 55.	10-21-78		NJPS NY	10-18-78
	•		***************************************	
are pleased to tell you to we at the standard time s	hat your policy, which was canceled, is pecified in your policy.	s reinstated.	The reinstated policy is ef	fective on the date lis
policy was not in force i	f time elapsed between the cancellation	and the re	instatement.	· .
NAME A	ND ADDRESS OF INSURED		AGENT OR BR	OKER
W.R. Grace	of the Americas		Marsh & McLennan 1221 Avenue of the New York, NY 1001	Americas 10
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		Code:	31 559 920	81
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EXCESS & SPECIAL RISKS INSTRUCTIONS FOR POLICYWRITING & CODING

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- M. K. Frace	
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TO: ENTRY DEPARTMENT — SPECIAL INSTRUCTIONS ON REVERSE SIDE 380047—7-77

7/18

BRANCH____INSURED_____POLICY#____ENDT#___REINS.CERT.#___

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Doc 21936-33 POLICY (FOLLOWING FORM)

Coverage is provided in the Company designated by number, a stock insurance Company (herein called the Company)

DECLARATIONS

1. INSURED'S NAME AND ADDRESS (NO., STREET, TOWN, COUNTY, STATE)

Filed 06/01/09

FIREMAN'S

FUND

INSURANCE

COMPANIES

MAIL ADDRESS

SAN FRANCISCO

CALIFORNIA

Page 12 of 12 OI FIREMAN'S FUND

SAN FRANCISCO, CALIFORNIA

18 THE AMERICAN

INSURANCE COMPANY

PARSIPPANY, NEW JERSEY

INSURANCE COMPANY **07 NATIONAL SURETY**

CHICAGÓ, ILLINOIS

CORPORATION

13 ASSOCIATED INDEMNITY CORPORATION SAN FRANCISCO, CALIFORNIA 15 AMERICAN AUTOMOBILE

CREVE COEUR, MISSOURI

INCEPTION (MO. DAY YR.) EXPIRATION (MO. DAY YR.) 12:01 A.M., STANDARD TIME AT THE ADDRESS OF THE NAMED INSURED AS STATED HEREIN.

ITEM 3.	LIMOT OF LIABILITY:			
. , , , , , , , , , , , , , , , , , , ,	**	\$. EACH OCCURRENCE	
		5	AGGREGATE	
ITEM 4.	UNI	DERLYING INSURAN	CE LIMIT OF LIABILITY	
		s	EACH OCCURRENCE	
		\$. AGGREGATE	
ITEM 5.	PREMIUM BASIS	**	ITEM 6. ADVANCE PREMIUM: \$	
. \$			ANNUAL MINIMUM PREMIUM: \$	
THE EVENT OF CAN	tar docaritism		MPANY SHALL RECEIVE AND RETAIN	NOT LESS THAN \$
	UNDERLYING INSURANCE:	entino, ,		
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FOLLOWING FORM BLANKET EXCESS LIABILITY POLICY

The Company designated above, a stock insurance company, (herein called the Company) agrees with the insured, named in the declarations made a part hereof, in consideration of the payment of premium and in reliance upon the statements in the declarations and subject to the limits of liability, exclusions, conditions and other terms of this policy:

INSURING AGREEMENTS

1. Coverage. To indemnify the Insured for the Insured's ultimate net loss in excess of the insurance afforded under the Blanket Excess Liability or "Umbrella" policies specified in Item 7 of the Declara-tions, hereafter called underlying insurance, in full force and effect at the inception of this policy, provided that the insurance for injury to or destruction of property under this policy and underlying policies shall not apply except as respects injury to or destruction of corporeal property, including loss of use thereof.

2. Limit of Liability. The Company shall be liable only for the limit 2. Limit of liability. The Company shall be liable only for the limit of liability stated in Item 3 of the Declarations in excess of the limit or limits of liability of the applicable underlying insurance policy or policies all as stated in the declarations of this policy. The limit of the liability stated in the declarations as applicable to "each occurrence" shall be the total limit of the Company's liability for all damages sustained as the result of any one occurrence are provided between in the court of reduction as or possible. rence, provided, however, in the event of reduction or exhaustion of the applicable aggregate limit or limits of liability under said

plying policy or policies solely by reason of losses paid there-or account of occurrences during this policy period, this

policy shall in the event of reduction, apply as excess of the reduced limit of liability thereunder. Subject to the applicable limit of liability as respects each occurrence, the limit of liability stated in the declarations as "aggregate" shall be the total limit of the Company's liability for all damages sustained during each annual period of

3. Policy Period. This policy applies only to occurrences which take place during the policy period.

DEFINITIONS

"Ultimate net loss" means all sums actually paid, or which the insured is legally obligated to pay, as damages in settlement or satisfaction of claims or suits for which insurance is alforded by this policy, after proper deduction of all recoveries or salvage.

CONDITIONS

I. Maintenance of Primary Insurance. The Insured warrants, and it is a condition of this policy, that at the inception of this policy, insurance afforded by the underlying policies of insurance (apply-

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~~	COUNTERSIGNATURE DATE				COUNTERSIGNATURE OF AUTHORIZED AGENT	
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